

**DEPARTMENT OF STATE HOSPITALS
REGULATIONS TEXT
CONFLICT OF INTEREST FILING FOR 2022**

California Code of Regulations
Title 9. Rehabilitative and Developmental Services
Division 1. Department of Mental Health
Chapter 2. Conflict of Interest Code

Section 400. General Provisions.

The Political Reform Act, Government Code sections 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission (FPPC) has adopted Section 18730 of title 2, California Code of Regulations (CCR), containing the terms of a standard Conflict of Interest Code. Section 18730 may be incorporated by reference and may be amended by the FPPC to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of Section 18730 of title 2, CCR and any amendments to it duly adopted by the FPPC, along with the attached Appendices (in which officials and employees are designated and disclosure categories are set forth), are hereby incorporated by reference. These terms, amendments, and Appendices constitute the Conflict of Interest Code of the Department of State Hospitals (Department).

Individuals holding designated positions shall file statements of economic interests with the Department, which will make the statements available for public inspection and reproduction per Government Code section 81008. The Director must file their statement of economic interests electronically with the FPPC. All other statements will be retained by the Department.

Appendix A

List of Designated Positions

Persons holding the following job classifications are hereby required to file statements of economic interests (Form 700) in accordance with the disclosure categories indicated below. Each disclosure category is described in Appendix B.

Designated Positions	Assigned Disclosure Categories
Headquarters – Sacramento	
<u>Directorate</u>	
Director	1
Chief Deputy Director, Program Services	1
Chief Deputy Director, Operations	1
Special Assistant to the Director	2
Senior Psychologist Supervisor	5
Assistant Director, Office of Communications	2
Assistant Director, Legislation	2
Information Officers II	3
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3
<u>Clinical Operations</u>	
Statewide Medical Director	2
Deputy Director	2
Assistant Deputy Director	2
Program Director Mental Disabilities	5
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3
<u>Forensic Services</u>	
Deputy Director	2
Assistant Deputy Director	2
Chief Psychologist, Correctional Facility	5
Consulting Psychologist	5
Senior Psychologist Supervisor	5
Senior Psychologist Specialist	5
Sexually Violent Predator Evaluator	5
Research Data Supervisor I	4
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3
<u>Community Forensic Partnership</u>	
Deputy Director	2
Assistant Deputy Director, Community IST Programs	2
Assistant Deputy Director, Jail-based IST Programs and CONREP	2
Chief Psychologist, Correctional Facility	5
Consulting Psychologist	5
Assistant Chief Psychologist (Senior Psychologist Supervisor)	5
Senior Psychologist (Supervisor)	5
Senior Psychologist Specialist	5

Health Program Manager I, II, III	3
Staff Mental Health Specialist	3
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3

Hospital Strategic Planning and Implementation

Deputy Director	2
Assistant Deputy Director	2
Assistant Deputy Director, Remediation Planning and Implementation	2
Senior Psychologist (Supervisor)	5
Research Data Manager	4
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	4

Legal

Chief Counsel/Deputy Director	1
Deputy Chief Counsel	1
Assistant Chief Counsel	2
All Attorneys	2
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3

Office of Human Rights

Chief, Office of Human Rights	2
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3

Office of Protective Services

Chief, Law Enforcement	2
Supervising Special Investigators I, II	3 & 5
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3

Statewide Quality Improvement

Deputy Director	2
Nurse Consultant, Program Review	2
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3

Office of Audits

Supervising Management Auditor	3
Staff Management Auditor	3
Staff Services Management Auditor	3
Associate Management Auditor	3

Enterprise Health & Safety

Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3
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Technology Services

Deputy Director/Chief Information Officer	6
Electronic Health Record Project Director	6

Information Technology Specialist II, III	6
Information Technology Manager I, II	6
Information Technology Supervisor I, II	6

Electronic Health Record

Information Technology Associate	6
Information Technology Specialist I, II, III	6

IT Business Management Section

Information Technology Associate	6
Information Technology Specialist I, II, III	6
Associate Governmental Program Analyst	6
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	6

Administrative Services

Deputy Director, Administrative Services	2
Chief Financial Officer	2
Chief Operating Officer	2
Chief, Human Resources and Labor Relations	2
Chief, Accounting	2
Accounting Administrator I, II, III (Specialist & Supervisor)	3
Senior Accounting Officer (Supervisor)	3
Labor Relations Manager I, II	2
Departmental Construction and Maintenance Supervisor	3
Senior Architect	3
Senior Mechanical Engineer	3
Associate Construction Analyst	3
Associate Governmental Program Analyst/Associate Budget Analyst	3
• Business Services	
• Contracts	
• Facilities	
• Fiscal	
• Procurement	
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3
• Budgets	
• Business Services	
• Contracts	
• Facilities	
• Fiscal	
• Human Resources	
• Patient Cost Recovery	
• Procurement	
• Workers Compensation	

Hospitals

Executive Director	2
Medical Director	5
Chief of Primary Care Services	5
Hospital Administrator	2
Clinical Administrator	5

Accounting Administrator I, II, III (Specialist & Supervisor)	3
Associate Construction Analyst	3
Hospital General Services Administrators I, II	3 & 5
Patient Benefit and Insurance Officers I, II, III	3 & 5
Mental Health Program Supervisors	3 & 5
Business Services Officer I, II (Supervisor)	3 & 5
Property Controller I, II, III	3
Chief Engineer I, II	3
Chief of Plant Operations I, II, III	3
Automotive Pool Manager I, II	3
Chief of Professional Education	5
Chief Physician and Surgeon	5
Chief Dentist	5
Chief Psychiatrist, Correctional & Rehabilitation Services	5
Chief Psychologist, Correctional Facility	5
Chief of Police	3 & 5
Chief, Protective Services & Security	3 & 5
Fire Chiefs	3 & 5
Medical Services Administrator	5
Nursing Administrator	5
Director of Dietetics	5
Assistant Director of Dietetics	5
Pharmacy Services Manager	5
Program Director Mental Disabilities	5
Pathologist/Lab Director	5
Supervisor Clinical Laboratory Technician	5
Supervising Building Trades	3
Associate Governmental Program Analyst	3
• Business Services	
• Contracts	
• Facility Operations	
• Plant Operations	
• Procurement	
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3
• Budgets	
• Business Services	
• Contracts	
• Facility Operations	
• Fiscal	
• Forensics	
• Health and Safety Officer	
• Hospital Administration	
• Human Resources	
• Plant Operations	
• Procurement	
• Staff Development	
• Standard Compliance	
• Training Department	
Staff Services Manager I, II, III (Specialist, Supervisory, Managerial)	3 & 5
• External Affairs	

- General Services
- Health Information Management Department
- Housekeeping Department
- Nursing Administration
- Nutrition Services

All Department Consultants and New Positions: Consultants and new positions are included in the list of designated positions and must disclose pursuant to the broadest disclosure category in the Conflict of Interest Code subject to the following limitation:

The Director may determine in writing that a particular consultant or new position, although a “designated person,” is hired to perform a range of duties that is limited in scope and thus not required to comply with the disclosure requirements described in this Conflict of Interest Code. Such determination shall include a description of the duties of the consultant or new position and, based upon that description, a statement of the extent of disclosure requirements. Nothing herein excuses any such consultant or new position from any other provision of this Conflict of Interest Code.

Appendix B

Disclosure Categories

Category 1

Interests in real property located within one mile of any hospital subject to the Department's jurisdiction and all investments and business positions in business entities and sources of income (including receipt of gifts, loans and travel payments).

Category 2

All investments and business positions in business entities and sources of income (including receipt of gifts, loans and travel payments).

Category 3

Investments and business positions in business entities and sources of income (including receipt of gifts, loans and travel payments) if the business entity or source provides leased facilities, products, equipment, vehicles, machinery or services (including training or consulting services) of the type utilized by the Department.

Category 4

Investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is subject to regulation by the division of the Department in which the designated employee holds their position.

Category 5

Investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is of the type:

- that is eligible to receive patient referrals for the delivery of health care services or supplies by the hospital to which the designated employee is assigned; or
- to provide any type of dental, mental, medical, or health care services or products, including prescriptions, ambulance services, and well-being programs.

Category 6

Investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source provides information technology and telecommunications goods, products, or services, including but not limited to; computer hardware or software companies, computer consultant services, training, data processing firms, and media services.

NOTE: Authority cited: Sections 87300 and 87306, Government Code Reference: Sections 87300, et seq., Government Code.

This is the last page of the conflict of interest code for the



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the
was approved on

Sukhi K. Brar

Assistant Chief Counsel

Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: